

Ch11: Frequency Response Techniques

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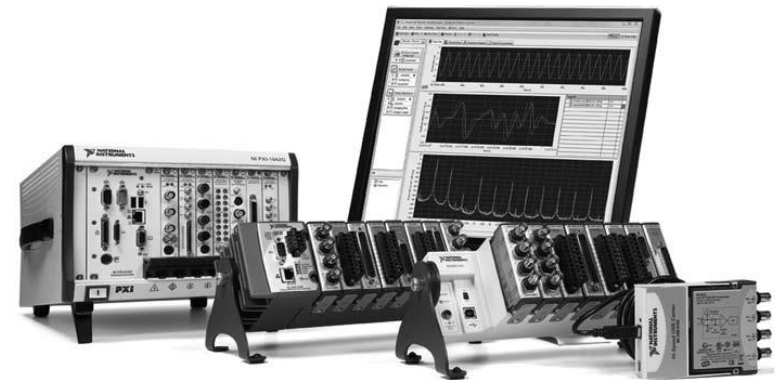
Frequency Response

Introduction

Frequency response method, developed by **Nyquist** (/ˈnaɪkwɪst/) and **Bode** (/ˈboʊdi/) in the 1930s, are older than the root locus method, discovered by Evans in 1948. This older method is not as intuitive as the root locus; however, it has distinct advantages in the following situations:

- 1) When modeling transfer functions of complicated systems from experimental data,
- 2) When designing lead, lag, and lead-lag compensators to meet a steady-state error requirement and a transient response requirement,
- 3) When finding the stability of nonlinear systems,
- 4) In settling ambiguities when sketching a root locus.

In frequency-response methods, we vary the frequency of the input signal over a certain range (say using a function generator) and study the resulting response (say using an oscilloscope).



A Representation of Sinusoids

Sinusoids

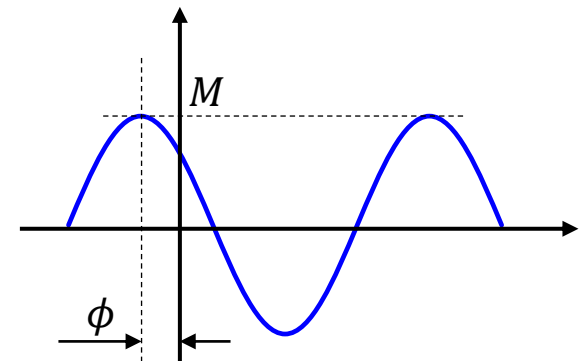
$$A \cos \omega t + B \sin \omega t = \sqrt{A^2 + B^2} \cos(\omega t - \tan^{-1} B/A) = M \cos(\omega t + \phi)$$

can be represented as **complex numbers** called **phasors** (phase + vector). The **magnitude** of the complex number is the **amplitude** of the sinusoid M , and the **angle** of the complex number is the **phase angle** of the sinusoid ϕ .

Thus, $M \cos(\omega t + \phi)$ or $A \cos \omega t + B \sin \omega t$ can be represented as

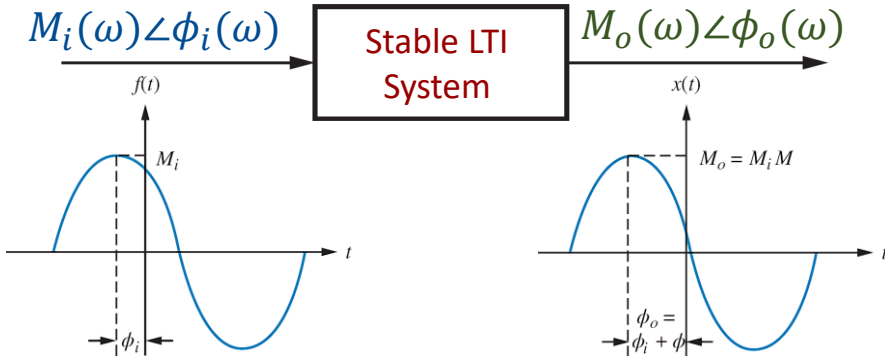
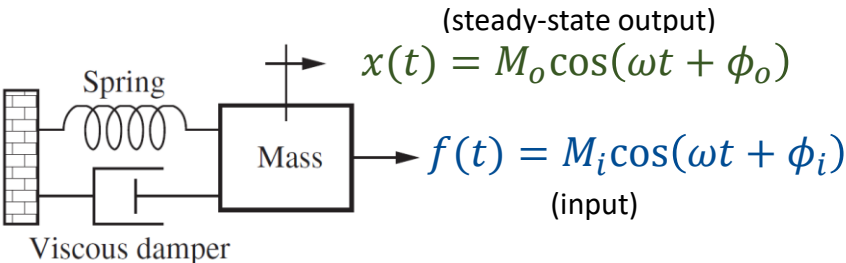
- **Polar Form:** $M \angle \phi$,
- **Euler's Form:** $M e^{j\phi}$
- **Rectangular Form:** $A - jB$

where the frequency ω is implicit in these forms.



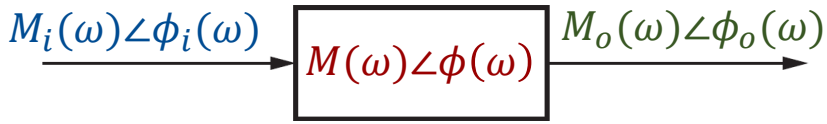
Concept of Frequency Response

In the steady state, sinusoidal inputs to a **stable, linear, time-invariant** system generate sinusoidal responses of the **same frequency** but different in amplitude and phase angle from the input. These differences are functions of frequency.



Therefore, the system itself can be represented by a **complex number** as $M(\omega) \angle \phi(\omega)$ where

$$M_o(\omega) = M_i(\omega) M(\omega)$$
$$\angle \phi_o(\omega) = \angle(\phi_i(\omega) + \phi(\omega))$$



Concept of Frequency Response

The combination of the magnitude $M(\omega)$ and phase $\phi(\omega)$ as $M(\omega) \angle \phi(\omega)$ is called the **Frequency Response**.

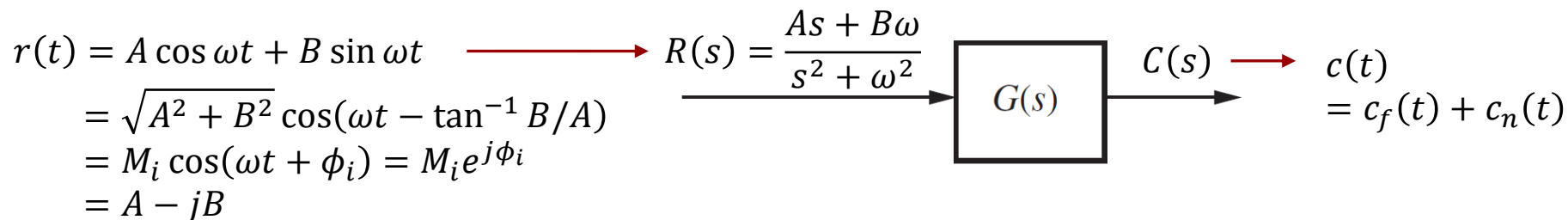
$$M(\omega) = \frac{M_o(\omega)}{M_i(\omega)}$$

Ratio of the output sinusoid's magnitude to the input sinusoid's magnitude is called **Magnitude Frequency Response**.

$$\phi(\omega) = \phi_o(\omega) - \phi_i(\omega)$$

Difference in phase angle between the output and the input sinusoids is called the **Phase Frequency Response**.

Relation between Frequency Response and TF



$$C(s) = \frac{As + B\omega}{(s^2 + \omega^2)} G(s) = \frac{As + B\omega}{(s + j\omega)(s - j\omega)} G(s) = \underbrace{\frac{K_1}{s + j\omega} + \frac{K_2}{s - j\omega}}_{\text{Force Response}} + \underbrace{\text{Terms from } G(s)}_{\text{Natural Response}}$$

$$K_1 = \left. \frac{As + B\omega}{s - j\omega} G(s) \right|_{s \rightarrow -j\omega} = \frac{1}{2} (A + jB) G(-j\omega) = \frac{1}{2} M_i e^{-j\phi_i} M e^{-j\phi} = \frac{M_i M}{2} e^{-j(\phi_i + \phi)}$$

$$K_2 = \left. \frac{As + B\omega}{s + j\omega} G(s) \right|_{s \rightarrow +j\omega} = \frac{1}{2} (A - jB) G(j\omega) = \frac{1}{2} M_i e^{j\phi_i} M e^{j\phi} = \frac{M_i M}{2} e^{j(\phi_i + \phi)} = K_1^*$$

(complex conjugate of K_1)

where $M = |G(j\omega)|$, $\phi = \angle G(j\omega)$.

Relation between Frequency Response and TF

Since the system is stable, $\lim_{t \rightarrow \infty} c_n(t) = 0$. Therefore, the sinusoidal steady-state response is determined by the forced response portion of $C(s)$ (first two terms).

$$\begin{aligned} C_f(s) &= \frac{K_1}{s + j\omega} + \frac{K_2}{s - j\omega} = \frac{\frac{M_i M}{2} e^{-j(\phi_i + \phi)}}{s + j\omega} + \frac{\frac{M_i M}{2} e^{j(\phi_i + \phi)}}{s - j\omega} \\ &= M_i M \left(\frac{e^{-j(\omega t + \phi_i + \phi)} + e^{j(\omega t + \phi_i + \phi)}}{2} \right) = M_i M \cos(\omega t + \phi_i + \phi) \\ &= M_o \cos(\omega t + \phi_o) \end{aligned}$$

In phasor form: $M_o \angle \phi_o = (M_i \angle \phi_i)(M \angle \phi)$ where $M = |G(j\omega)|$, $\phi = \angle G(j\omega)$.

Therefore, the **frequency response** of a system whose transfer function is $G(s)$ is

$$G(j\omega) = G(s) \Big|_{s \rightarrow j\omega} = |G(j\omega)| \angle G(j\omega) = M(\omega) \angle \phi(\omega)$$

Plotting Frequency Response

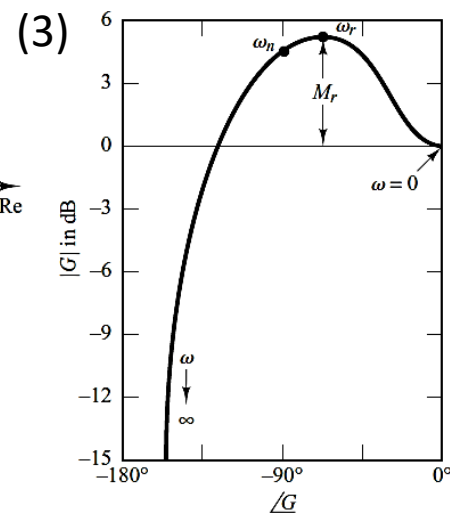
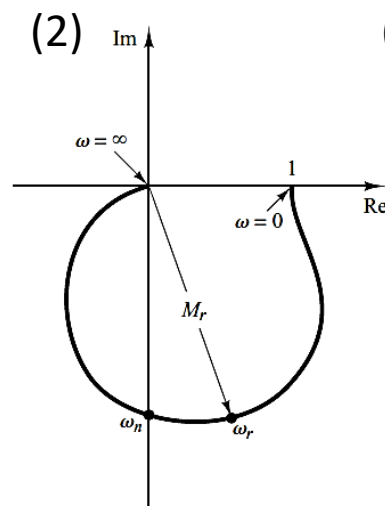
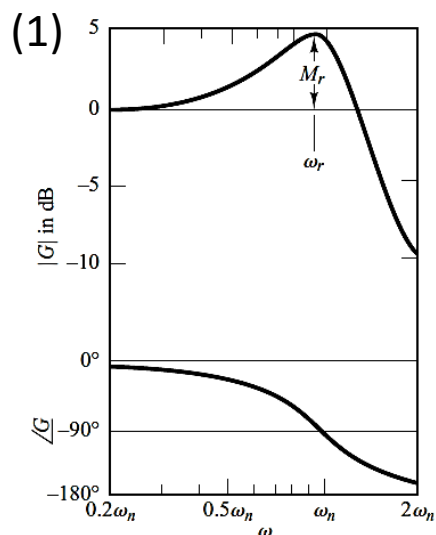
There are 3 commonly used representations of $G(j\omega) = |G(j\omega)|\angle G(j\omega) = M(\omega)\angle\phi(\omega)$:

(1) **Bode Plots**: Two separate magnitude and phase plots:

- **Magnitude plot**: Log-magnitude in decibels (dB) (i.e., $20 \log|G(j\omega)|$) vs ω .
- **Phase plot**: $\angle G(j\omega)$ vs. ω .

(2) **Nyquist Plot**: As a polar plot, where the phasor length is the magnitude $|G(j\omega)|$ and the phasor angle is the phase $\angle G(j\omega)$.

(3) **Nichols Plot**: Log-magnitude in decibels (dB) (i.e., $20 \log|G(j\omega)|$) vs. phase ($\angle G(j\omega)$).



Note: Here, log is used to mean \log_{10} , or logarithm to the base 10.

Bode Plots

Magnitude and Phase Frequency Response

Consider the transfer function $G(s)$:

$$G(s) = \frac{K(s + z_1)(s + z_2) \cdots (s + z_k)}{s^m(s + p_1)(s + p_2) \cdots (s + p_n)}$$

$$|G(j\omega)| = \frac{K|s + z_1||s + z_2| \cdots |s + z_k|}{|s^m||s + p_1||s + p_2| \cdots |s + p_n|} \Bigg|_{s \rightarrow j\omega}$$

$$20 \log|G(j\omega)| = \left(20 \log K + 20 \log|s + z_1| + \cdots + 20 \log \left| \frac{1}{s^m} \right| + 20 \log \left| \frac{1}{s + p_1} \right| + \cdots \right) \Bigg|_{s \rightarrow j\omega}$$

$$\angle|G(j\omega)| = \left(\angle K + \angle(s + z_1) + \cdots + \angle \left(\frac{1}{s^m} \right) + \angle \left(\frac{1}{s + p_1} \right) + \cdots \right) \Bigg|_{s \rightarrow j\omega}$$

Therefore, the magnitude $20 \log|G(j\omega)|$ and phase $\angle|G(j\omega)|$ frequency response is the **sum** of the magnitude and phase frequency responses of all terms.

Basic Factors of $G(j\omega)$ for Sketching Bode Plots

1. Gain: $G(s) = K$

2. Integral and derivative factors: $G(s) = s$, $G(s) = \frac{1}{s}$

3. First-order factors: $G(s) = (Ts + 1)$, $G(s) = \frac{1}{Ts + 1}$

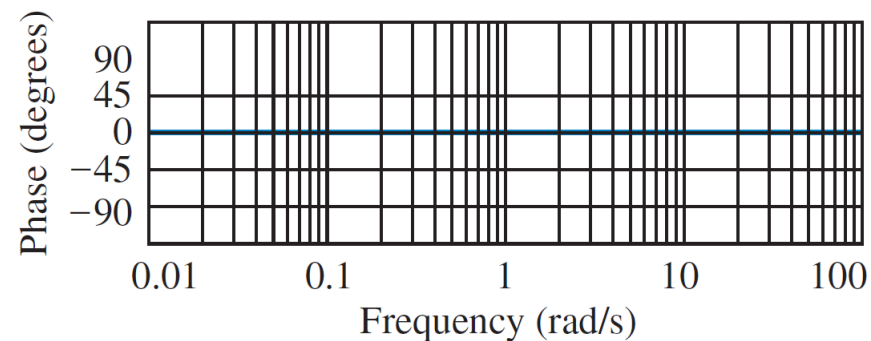
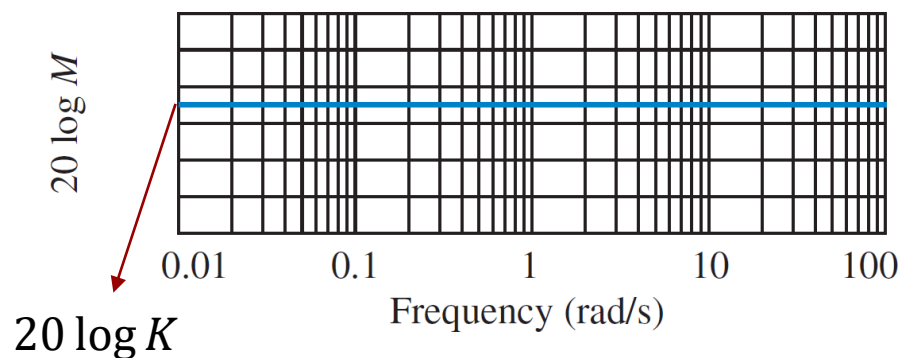
4. Quadratic factors: $G(s) = \left(\frac{1}{\omega_n^2} s^2 + \frac{2\zeta}{\omega_n} s + 1 \right)$, $G(s) = \frac{1}{\frac{1}{\omega_n^2} s^2 + \frac{2\zeta}{\omega_n} s + 1}$ $0 \leq \zeta < 1$

Frequency response of these **basic factors** are **approximated by straight-lines (asymptotes)**. For sketching the frequency response of more complicated transfer functions $G(s)$, these lines are combined.

Therefore, sketching Bode plots can be simplified because they can be approximated as a sequence of straight lines (**asymptotes**).

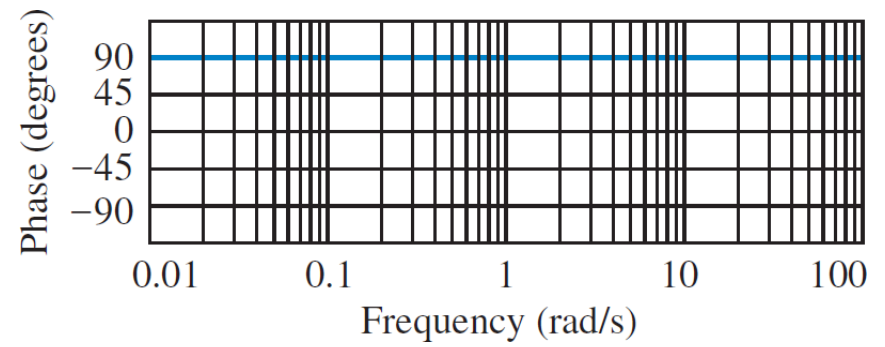
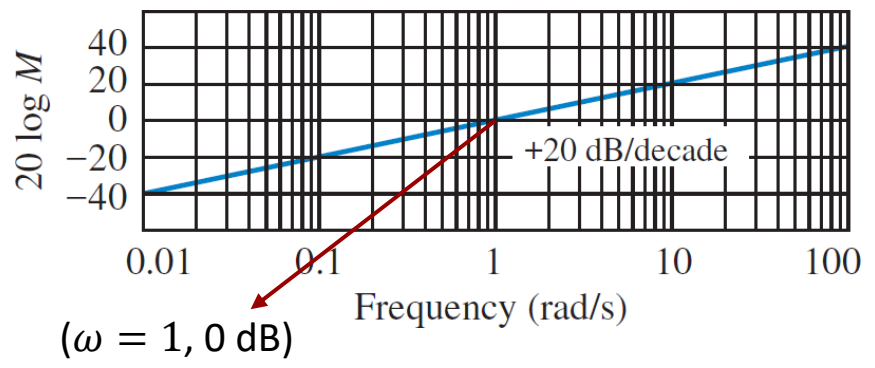
1. Bode Plots for K

- The log-magnitude curve for a constant gain K is a horizontal straight line at the magnitude of $20 \log K$ dB. A gain K greater than unity has a positive value in decibels, while a number smaller than unity has a negative value
- The phase angle of the gain K is **zero**.

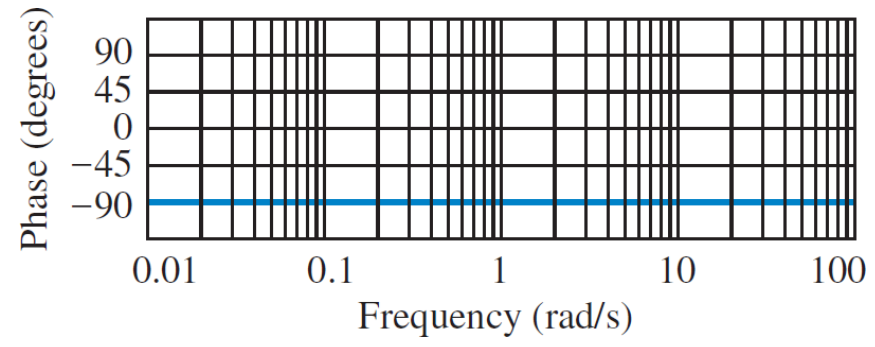
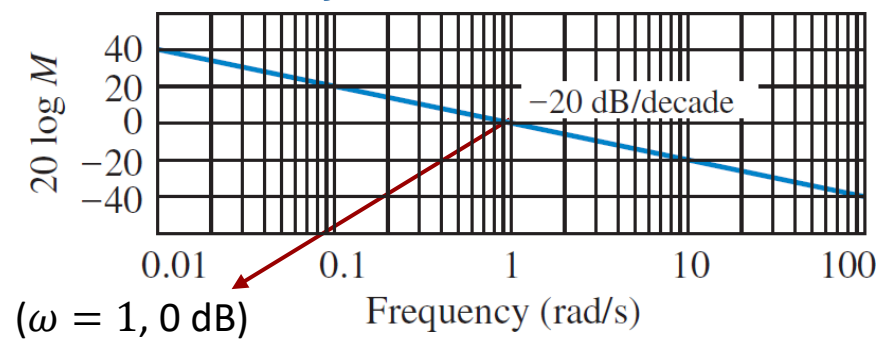


2. Bode Plots for s and $\frac{1}{s}$

$G(j\omega) = j\omega \rightarrow 20 \log|G(j\omega)| = 20 \log \omega, \quad \angle G(j\omega) = 90^\circ$



$G(j\omega) = \frac{1}{j\omega} \rightarrow 20 \log|G(j\omega)| = -20 \log \omega, \quad \angle G(j\omega) = -90^\circ$



2. Bode Plots for s^n and $\left(\frac{1}{s}\right)^n$

- If the transfer function contains the factor s^n or $(1/s)^n$, the log magnitude becomes:

$$20 \log|(j\omega)^n| = n \times 20 \log|j\omega| = 20n \log \omega \text{ dB}$$

$$20 \log \left| \frac{1}{(j\omega)^n} \right| = -n \times 20 \log|j\omega| = -20n \log \omega \text{ dB}$$

The slopes of the log-magnitude curves for the factors are thus $20n$ dB/decade and $-20n$ dB/decade, respectively.

Note: The magnitude curves will pass through the point ($\omega = 1$, 0 dB).

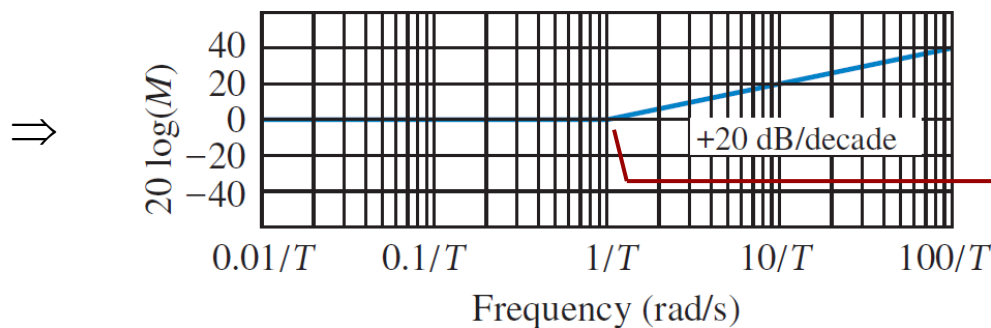
- The phase angle of s^n is equal to $90^\circ \times n$ over the entire frequency range and the phase angle of $(1/s)^n$ is equal to $-90^\circ \times n$ over the entire frequency range.

Bode Plots for $Ts + 1$

$$G(j\omega) = (j\omega T + 1) \Rightarrow \begin{aligned} 20 \log(|G(j\omega)|) &= 20 \log \sqrt{1 + \omega^2 T^2} \\ \angle G(j\omega) &= \tan^{-1} \omega T \end{aligned}$$

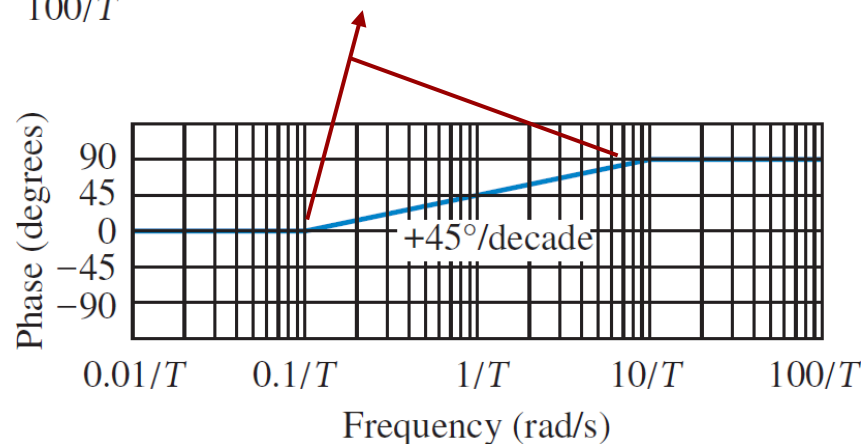
At low frequencies when $0 < \omega < 1/T$: $G(j\omega) \approx 1 \rightarrow 20 \log(|G(j\omega)|) = 20 \log 1 = 0$

At high frequencies when $1/T < \omega < \infty$: $G(j\omega) \approx j\omega T \rightarrow 20 \log|G(j\omega)| = 20 \log \omega T$



The frequency at which the two asymptotes meet is called the **corner** or **break** frequency. This frequency is very important in sketching logarithmic frequency-response curves.

$$\begin{aligned} \text{At } \omega = 0, \quad \angle G(j\omega) &= 0^\circ \\ \text{At } \omega = 1/T, \quad \angle G(j\omega) &= \tan^{-1} 1 = 45^\circ \\ \text{At } \omega \rightarrow \infty, \quad \angle G(j\omega) &= 90 \end{aligned} \Rightarrow$$

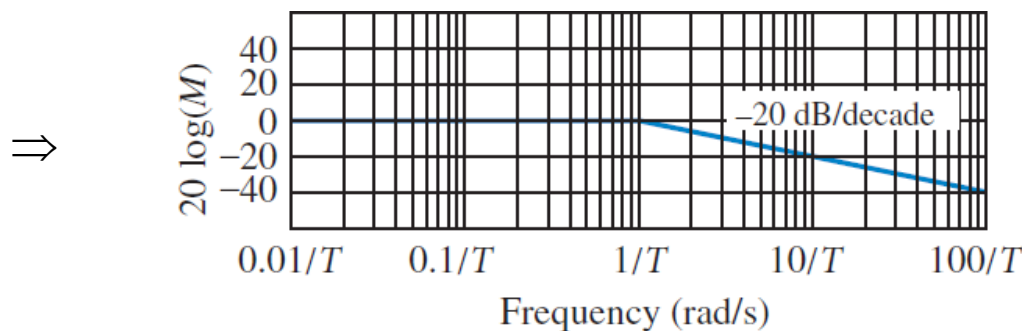


Bode Plots for $\frac{1}{Ts+1}$

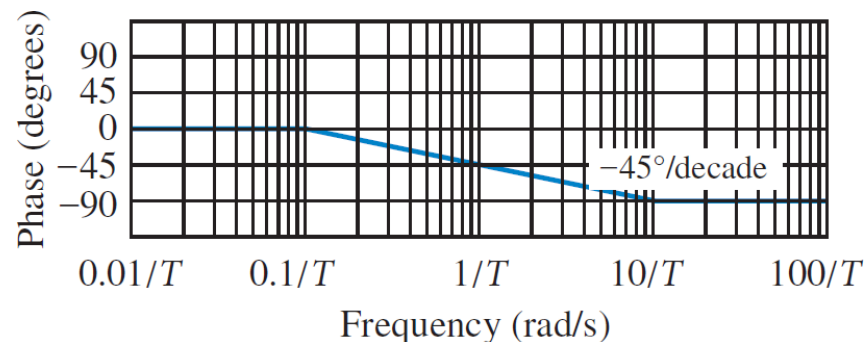
$$G(j\omega) = \frac{1}{(j\omega T + 1)} \Rightarrow \begin{aligned} 20 \log(|G(j\omega)|) &= -20 \log \sqrt{1 + \omega^2 T^2} \\ \angle G(j\omega) &= -\tan^{-1} \omega T \end{aligned}$$

At low frequencies when $0 < \omega < 1/T$: $G(j\omega) \approx 1 \rightarrow 20 \log(|G(j\omega)|) = 20 \log 1 = 0$

At high frequencies when $1/T < \omega < \infty$: $G(j\omega) \approx 1/j\omega T \rightarrow 20 \log|G(j\omega)| = -20 \log \omega T$

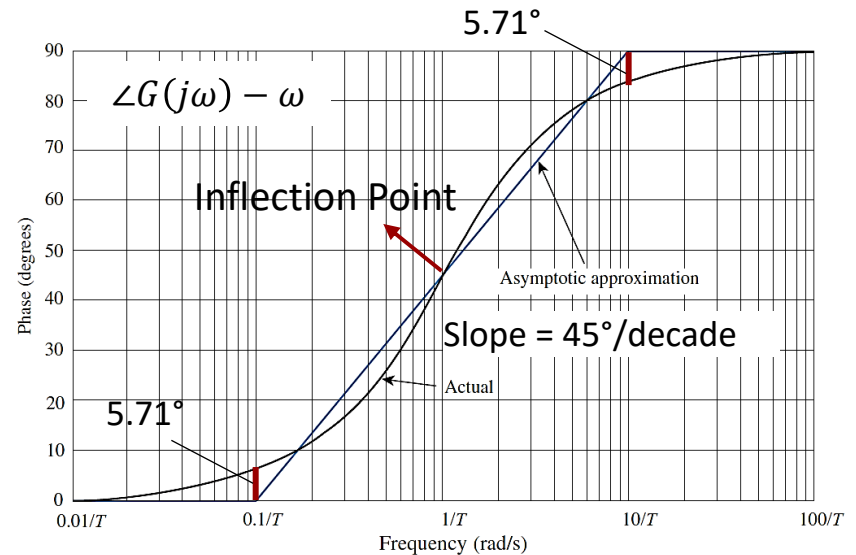
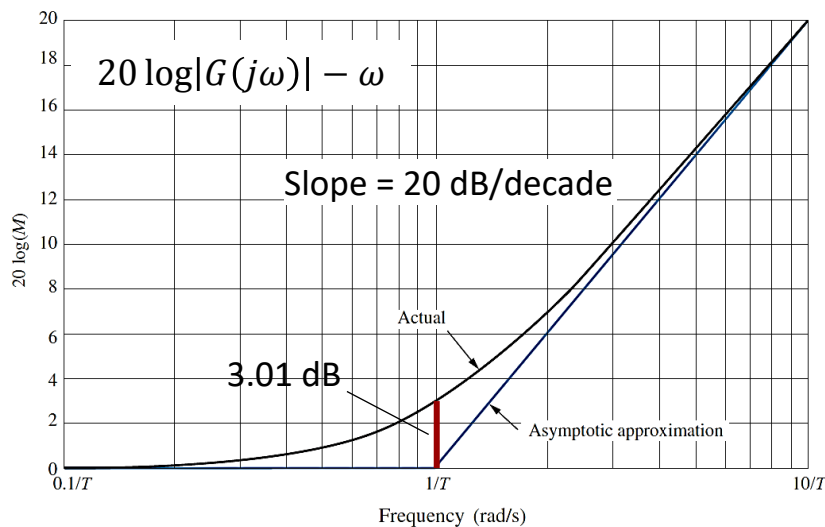


At $\omega = 0$, $\angle G(j\omega) = 0^\circ$
 At $\omega = 1/T$, $\angle G(j\omega) = -\tan^{-1} 1 = -45^\circ \Rightarrow$
 At $\omega \rightarrow \infty$, $\angle G(j\omega) = -90^\circ$



Bode Plots for $Ts + 1$ and $\frac{1}{Ts+1}$

- The maximum difference between the **actual curve** and **asymptotic approximation** for the magnitude curve is 3.01 dB, which occurs at the break frequency and the maximum difference for the phase curve is 5.71° , which occurs at the decades above and below the break frequency.



- For the case where a given transfer function involves terms like $(j\omega T + 1)^{\pm n}$, a similar asymptotic construction may be made, except the high-frequency asymptote has the slope of $-20n$ dB/decade or $20n$ dB/decade; similarly, for the phase angle plots.

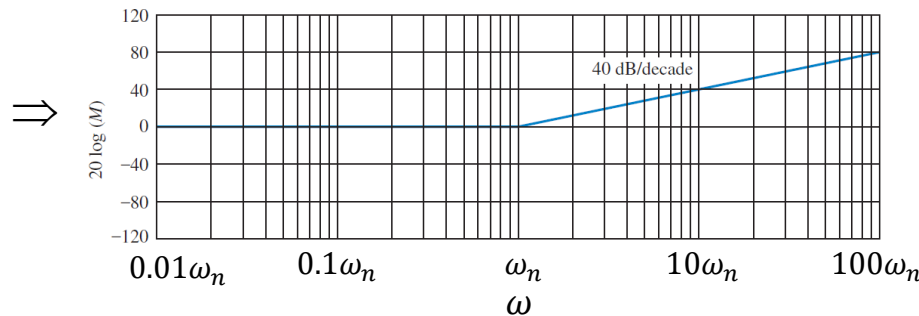
Bode Plots for $\frac{1}{\omega_n^2} s^2 + \frac{2\zeta}{\omega_n} s + 1$

$$G(j\omega) = \frac{1}{\omega_n^2} (j\omega)^2 + \frac{2\zeta}{\omega_n} (j\omega) + 1$$

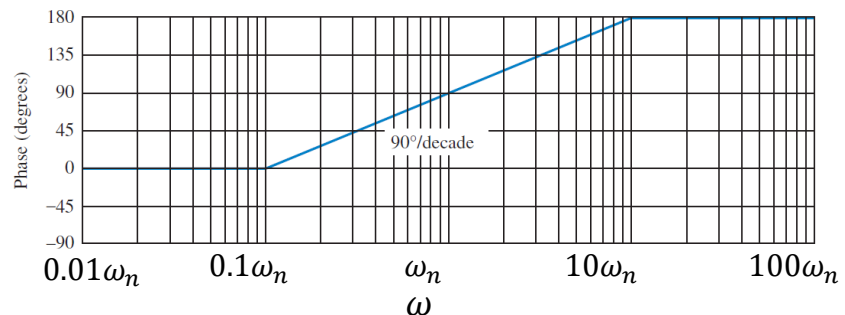
$$20 \log(|G(j\omega)|) = 20 \log \sqrt{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + \left(2\zeta \frac{\omega}{\omega_n}\right)^2}, \quad \angle G(j\omega) = \tan^{-1} \left(\frac{2\zeta \frac{\omega}{\omega_n}}{\left(1 - \left(\frac{\omega}{\omega_n}\right)^2\right)} \right)$$

At low frequencies when $0 < \omega < \omega_n$: $G(j\omega) \approx 1 \rightarrow 20 \log(|G(j\omega)|) = 20 \log 1 = 0$

At high frequencies when $\omega_n < \omega < \infty$: $G(j\omega) \approx -\omega^2/\omega_n^2 \rightarrow 20 \log|G(j\omega)| = 20 \log \frac{\omega^2}{\omega_n^2} = 40 \log \frac{\omega}{\omega_n}$



At $\omega = 0$, $\angle G(j\omega) = 0^\circ$
 At $\omega = \omega_n$, $\angle G(j\omega) = 90^\circ$
 At $\omega \rightarrow \infty$, $\angle G(j\omega) = 180^\circ$



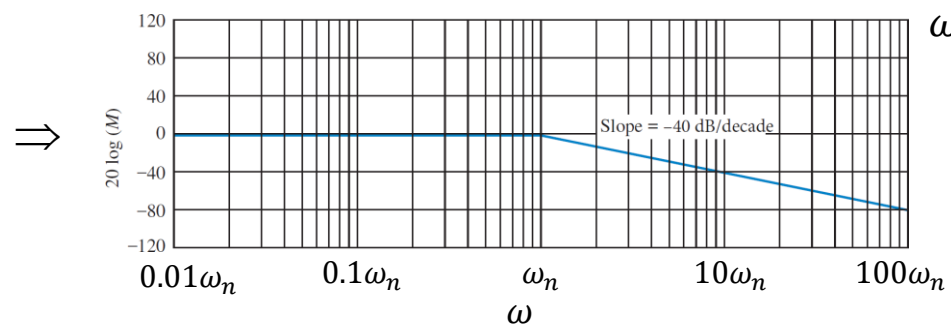
Bode Plots for $\frac{1}{\frac{1}{\omega_n^2}s^2 + \frac{2\zeta}{\omega_n}s + 1}$

$$G(j\omega) = \frac{1}{\frac{1}{\omega_n^2}(j\omega)^2 + \frac{2\zeta}{\omega_n}(j\omega) + 1}$$

$$20 \log(|G(j\omega)|) = -20 \log \sqrt{\left(1 - \frac{\omega^2}{\omega_n^2}\right)^2 + \left(2\zeta \frac{\omega}{\omega_n}\right)^2}, \quad \angle G(j\omega) = -\tan^{-1} \left(\frac{2\zeta \frac{\omega}{\omega_n}}{\left(1 - \left(\frac{\omega}{\omega_n}\right)^2\right)} \right)$$

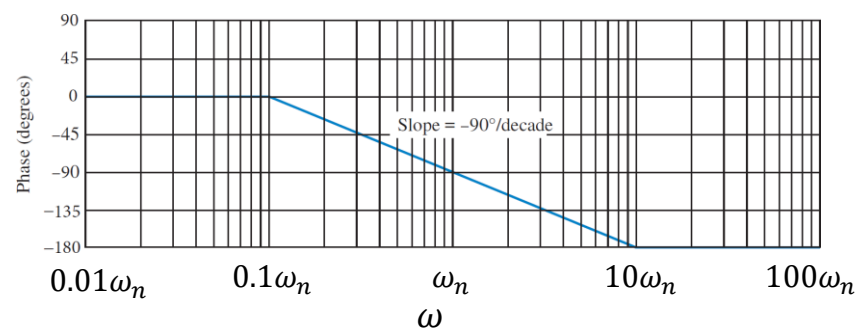
At low frequencies when $0 < \omega < \omega_n$: $G(j\omega) \approx 1 \rightarrow 20 \log(|G(j\omega)|) = 20 \log 1 = 0$

At high frequencies when $\omega_n < \omega < \infty$: $G(j\omega) \approx -\frac{1}{\frac{\omega^2}{\omega_n^2}} \rightarrow 20 \log|G(j\omega)| = -20 \log \frac{\omega^2}{\omega_n^2} = -40 \log \frac{\omega}{\omega_n}$



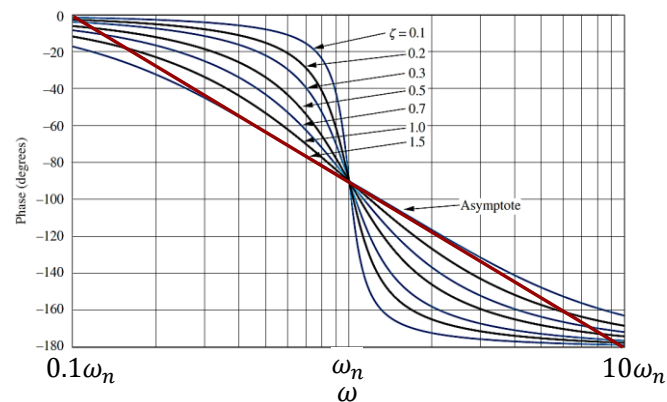
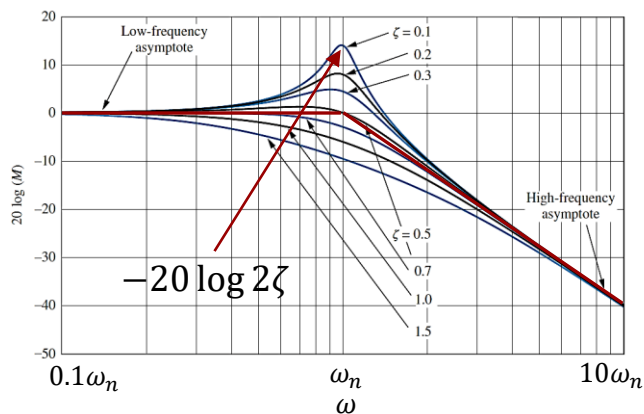
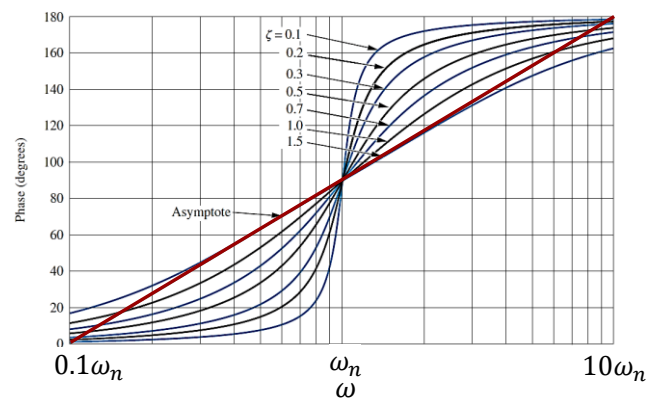
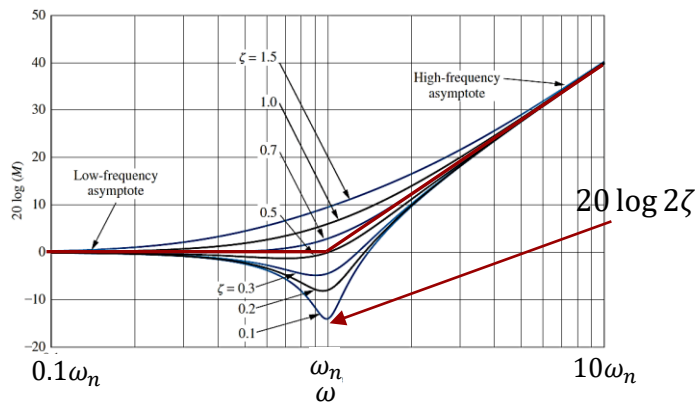
- At $\omega = 0$, $\angle G(j\omega) = 0^\circ$
- At $\omega = \omega_n$, $\angle G(j\omega) = -90^\circ$
- At $\omega \rightarrow \infty$, $\angle G(j\omega) = -180^\circ$

⇒



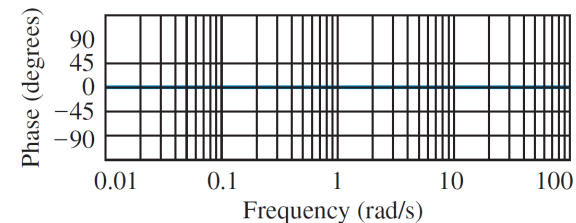
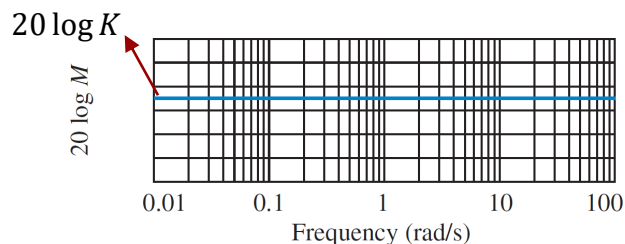
Actual Bode Plots for $\frac{1}{\omega_n^2} s^2 + \frac{2\zeta}{\omega_n} s + 1$ & $\frac{1}{\frac{1}{\omega_n^2} s^2 + \frac{2\zeta}{\omega_n} s + 1}$

Asymptotic approximations to the Bode plots of the quadratic factor are not accurate for small values of ζ because the magnitude and phase of these factor depend on both the corner frequency ω_n and the damping ratio ζ . Near $\omega = \omega_n$ a resonant peak occurs. The damping ratio ζ determines the magnitude of the resonant peak and error. A correction to the Bode plots can be made to improve the accuracy.

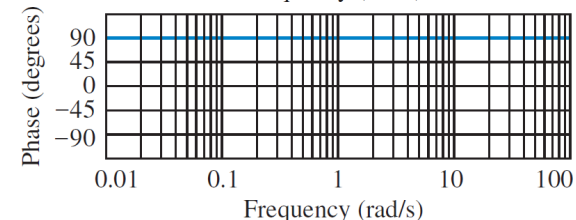
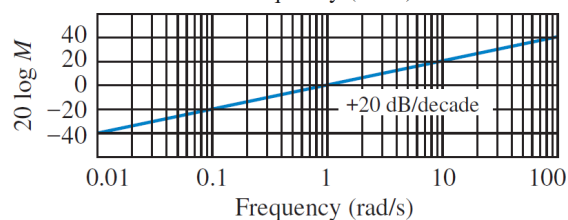


Bode Plots of Basic Factors: Summary

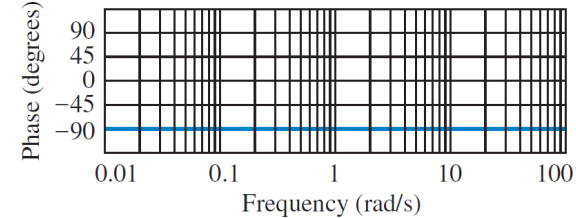
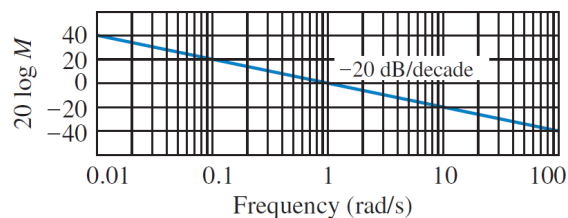
$$G(s) = K$$



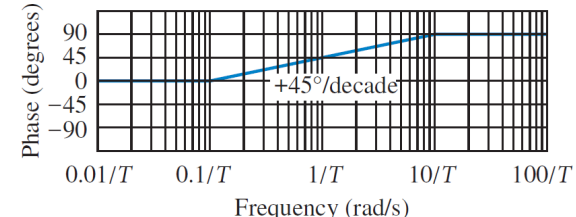
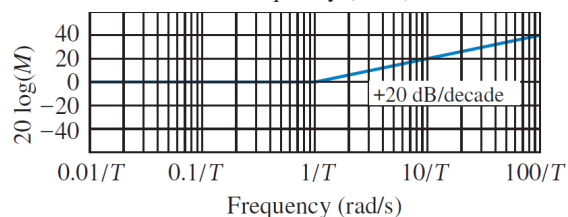
$$G(s) = s$$



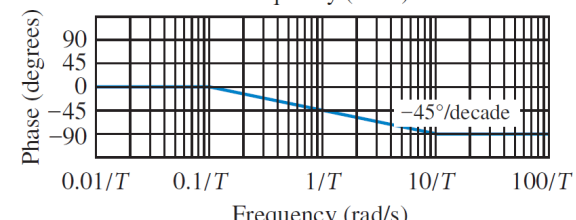
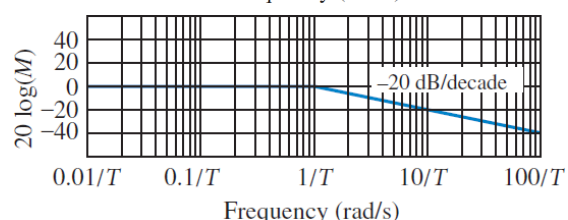
$$G(s) = \frac{1}{s}$$



$$G(s) = (Ts + 1)$$

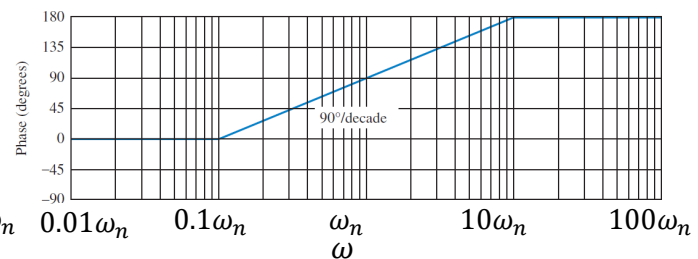
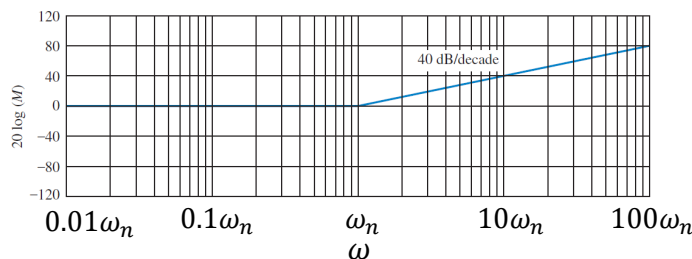


$$G(s) = \frac{1}{Ts + 1}$$

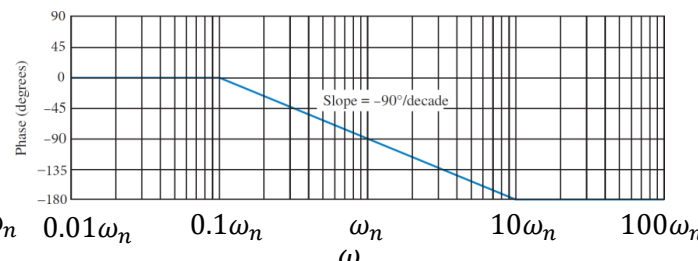
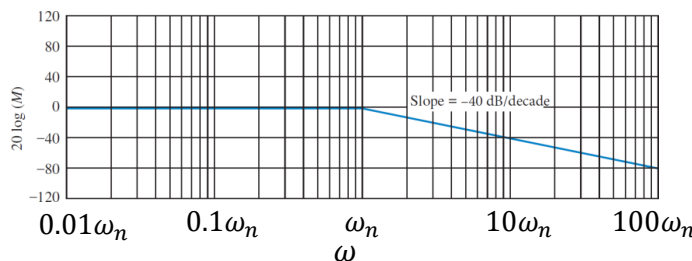


Bode Plots of Basic Factors: Summary

$$G(s) = \left(\frac{1}{\omega_n^2} s^2 + \frac{2\zeta}{\omega_n} s + 1 \right)$$



$$G(s) = \frac{1}{\frac{1}{\omega_n^2} s^2 + \frac{2\zeta}{\omega_n} s + 1}$$



Sketching Bode Plots of Complicated Functions

For sketching the frequency response of more complicated transfer functions,

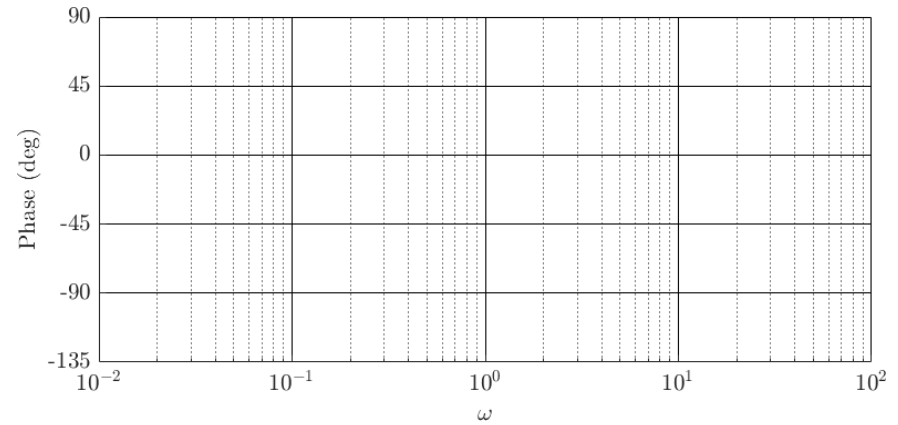
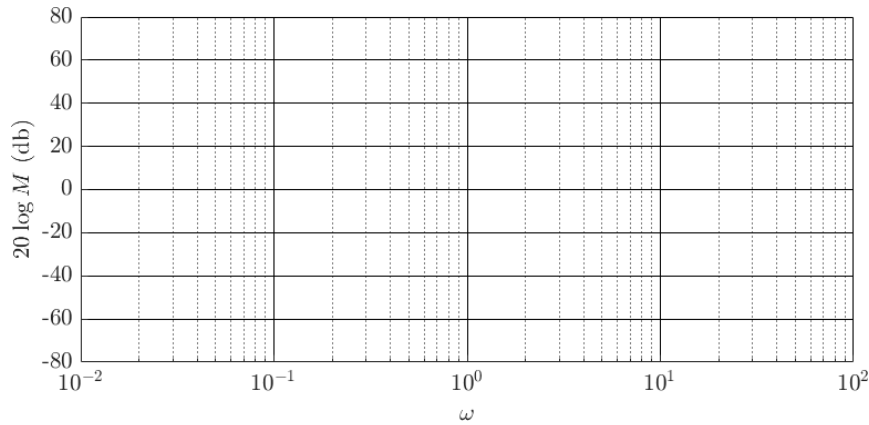
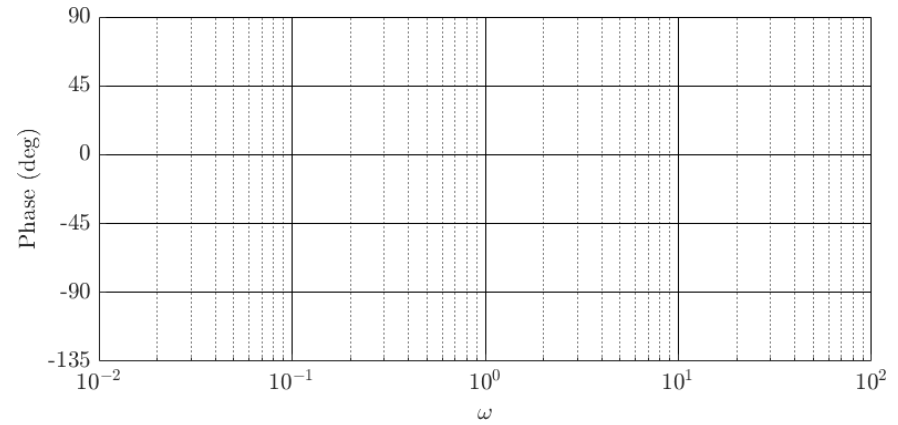
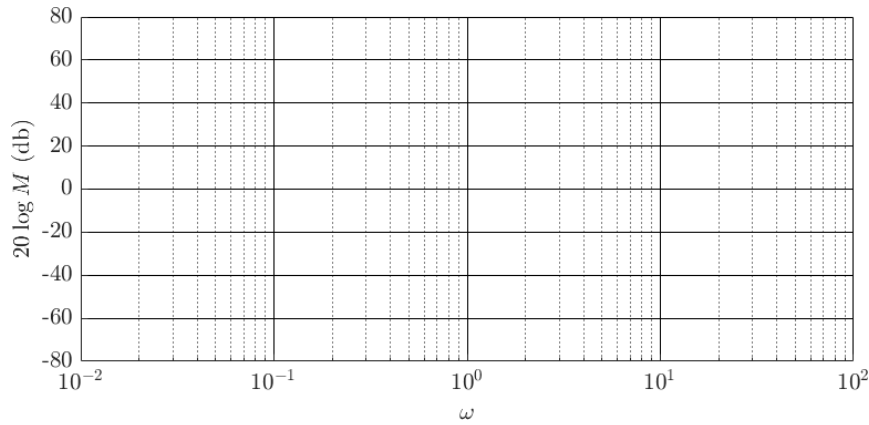
- 1) First, rewrite $G(j\omega)$ as a product of basic factors.
- 2) Then, identify all the corner frequencies associated with these basic factors.
- 3) Finally, draw the asymptotic log-magnitude and phase curves with proper slopes between the corner frequencies. The plots should begin **a decade below the lowest** break frequency and extend **a decade above the highest** break frequency.
- 4) The exact curve, which lies close to the asymptotic curve, can be obtained by adding proper corrections.

Note: The experimental determination of a transfer function $G(s)$ can be made simple if frequency-response data are presented in the form of a **Bode plot**.

Example

Draw the Bode plots for $G(s)$.

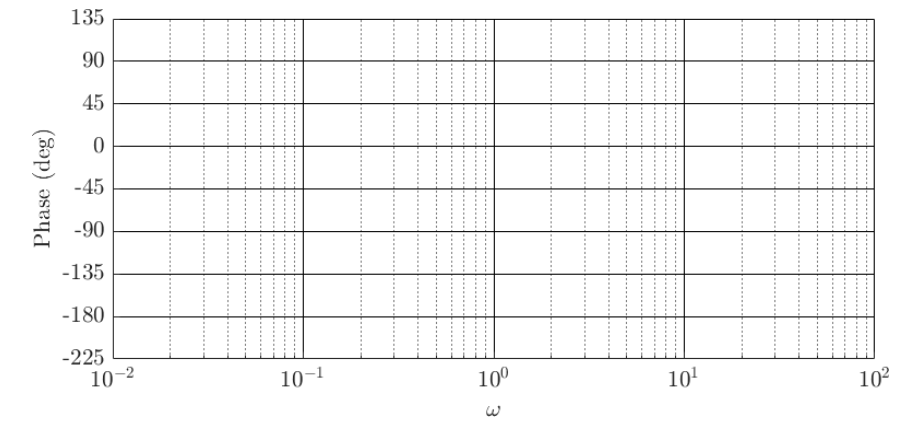
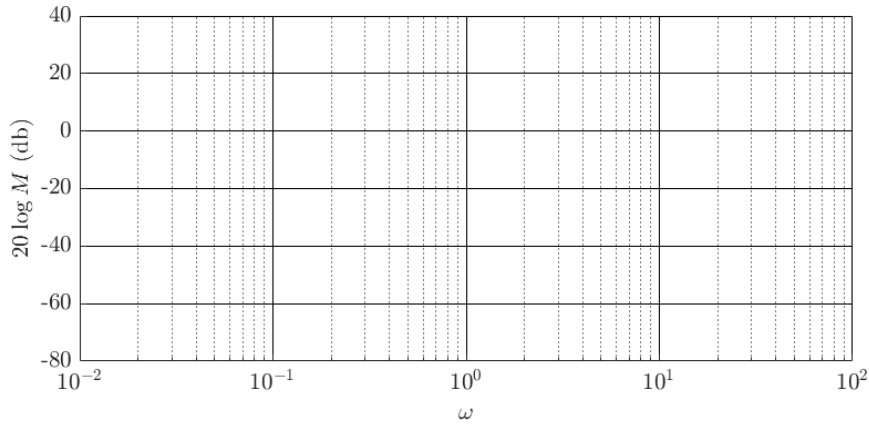
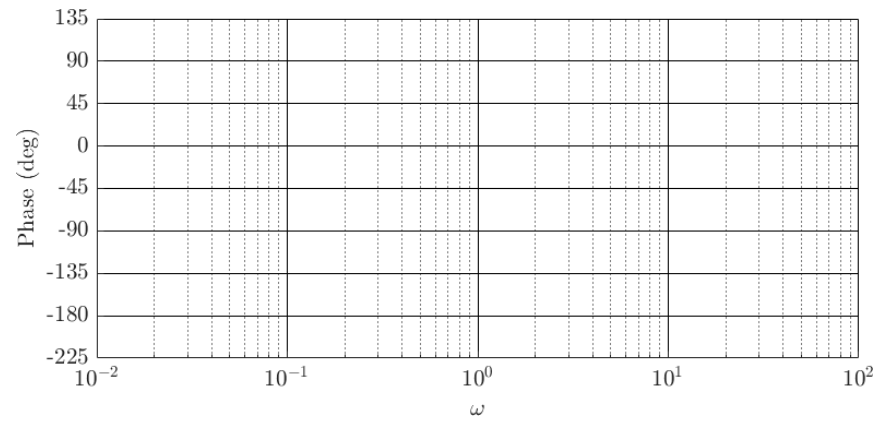
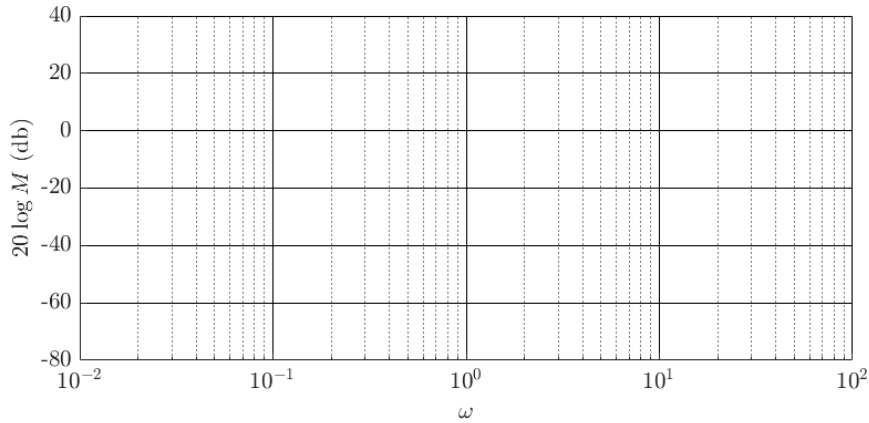
$$G(s) = \frac{10(s + 3)}{s(s + 5)}$$



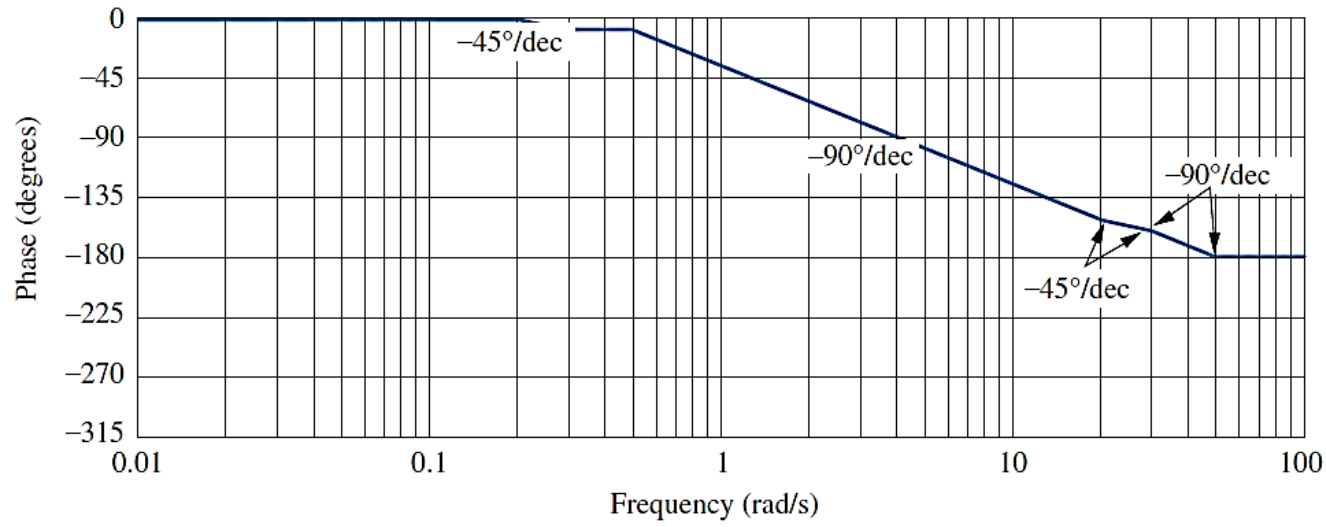
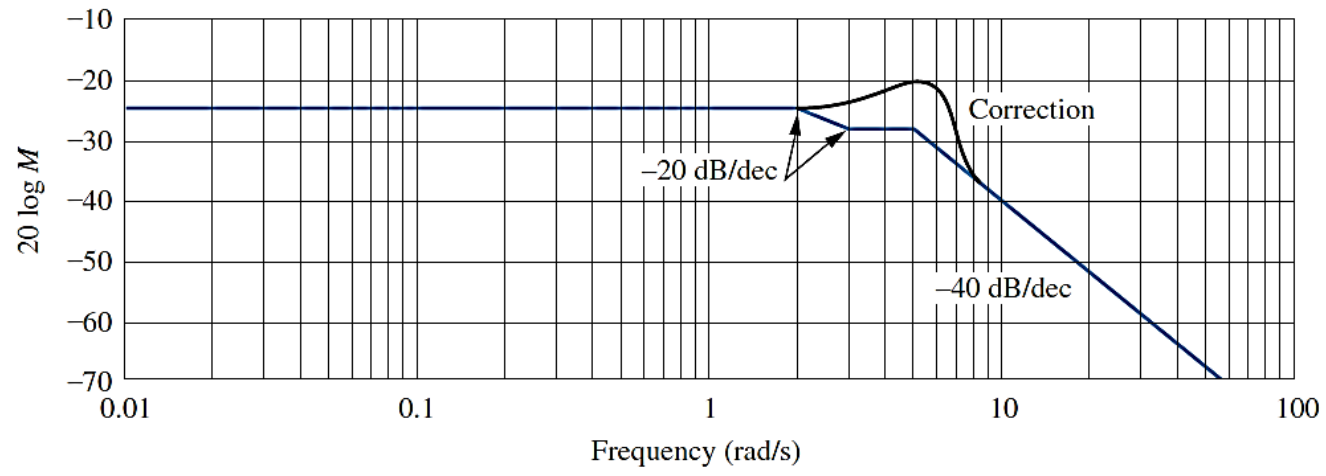
Example

Draw the Bode plots for $G(s)$.

$$G(s) = \frac{s + 3}{(s + 2)(s^2 + 2s + 25)}$$



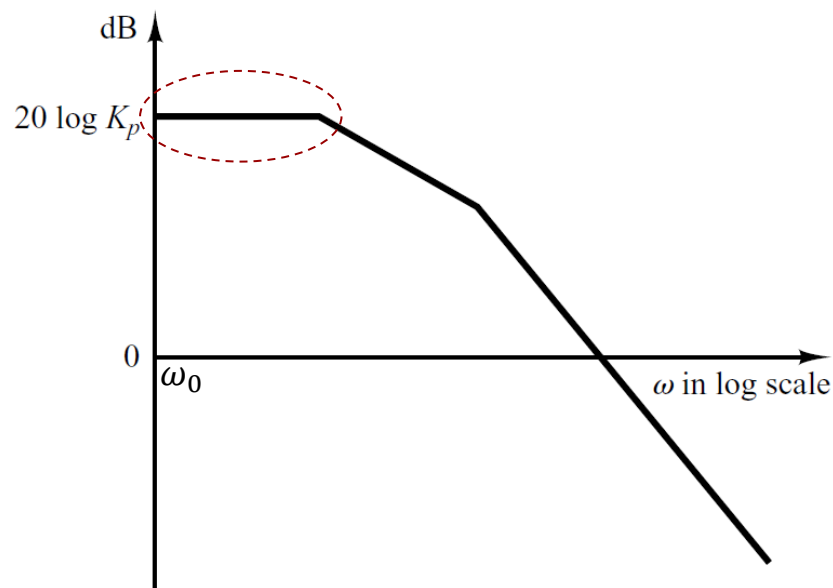
Final Answer



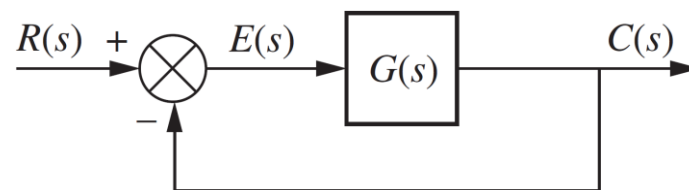
Bode Plots and Steady-State Error

Bode Plots and Steady-State Error Characteristics

The **Type** of the system determines the **slope of the log-magnitude curve at low frequencies**. Thus, information concerning the existence and magnitude of the **steady-state error** of a control system to a given input can be determined from the observation of the low-frequency region of the log-magnitude curve.

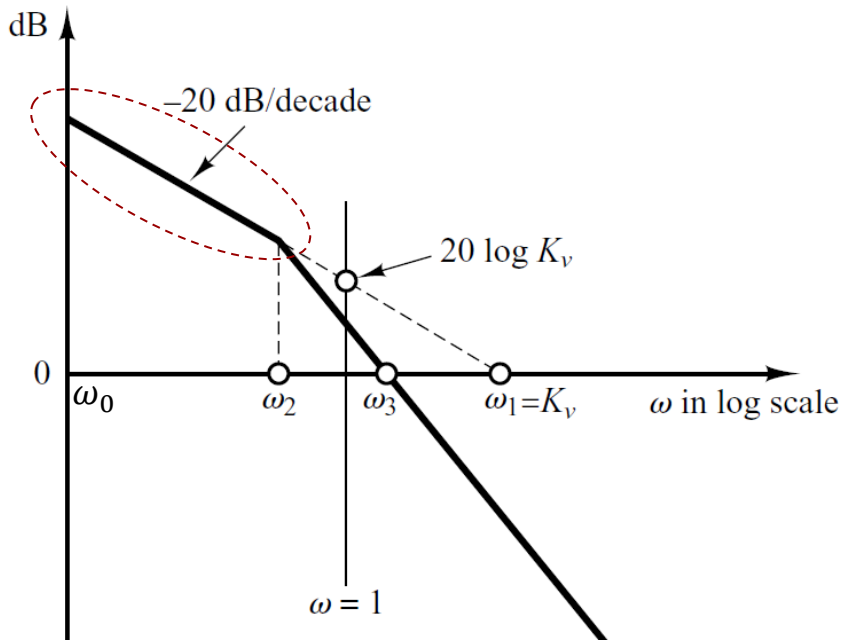


(Log-magnitude curve of a **Type 0** system)

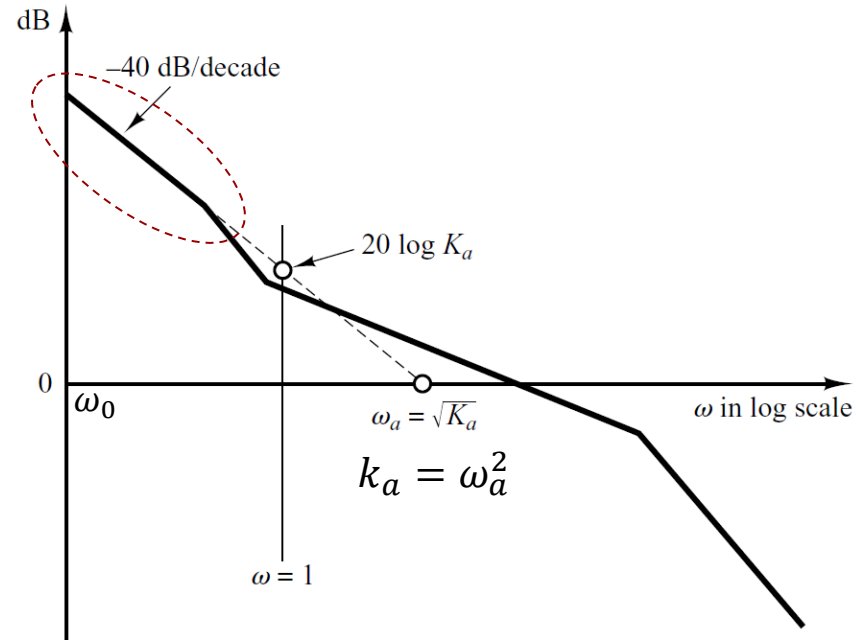


$$G(s) = \frac{(s + z_1)(s + z_2) \cdots}{s^N (s + p_1)(s + p_2) \cdots}$$

Bode Plots and Steady-State Error Characteristics



(Log-magnitude curve of a **Type 1** system)

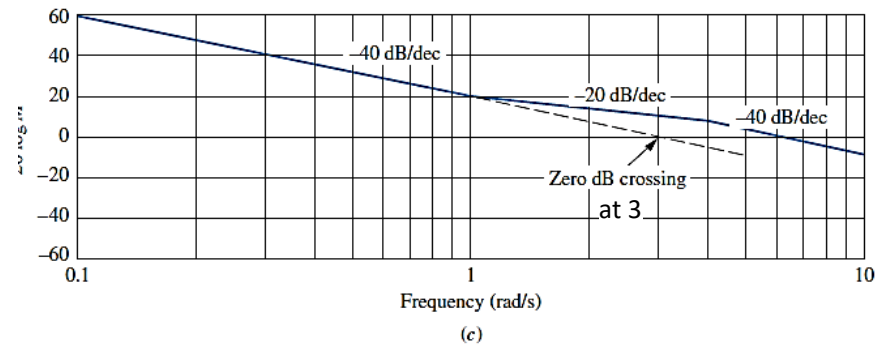
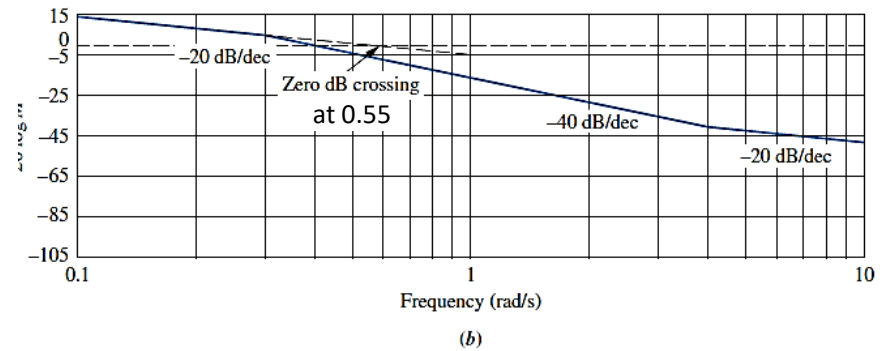
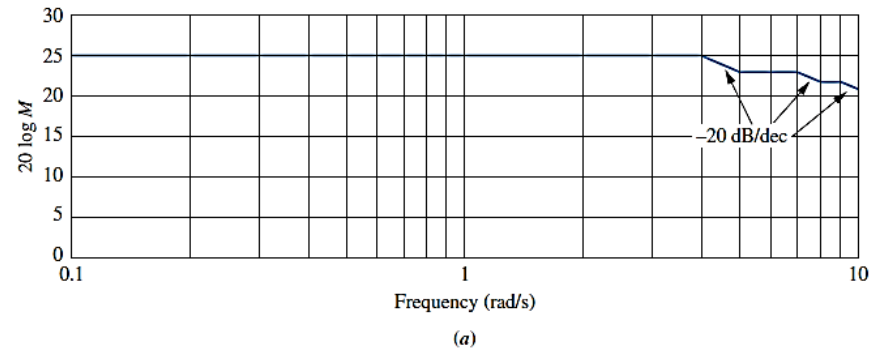


(Log-magnitude curve of a **Type 2** system)

Example

For each Bode log-magnitude plot,

- a. Find the system type.
- b. Find the value of the appropriate static error constant.



Using MATLAB and Control System Toolbox

Making Bode Plots Using bode

```
s = tf('s');  
G = 10*(s+3)/(s*(s+5));  
  
bode(G,{0.1,100})  
grid on  
  
% To store points on the Bode plot  
[mag, phase, w]=bode(G);  
  
% List points on Bode plot with magnitude in dB.  
points = [20*log10(mag(:,:))', phase(:,:))', w];
```

$$G(s) = \frac{10(s + 3)}{s(s + 5)}$$